

COUNTY OF ALBANY

EC - BID FORM

BID IDENTIFICATION:

Title: Albany County Sheriff's Office Public Safety Building Renovations
 Bid Number: 2020-044-EC- Electrical Construction

Conditions:

1. All bid prices must include all materials, labor, equipment, incidentals and other associated costs.
2. Base Bid work shall carry a 10% Base Bid Contingency Allowance for additional work discovered during construction beyond scope of work indicated on drawings and specifications. Contractor shall receive advance approval from the County Engineer prior to performing any additional work.

LUMP SUM BASE BID: \$ 204,600.00 (a)

10 % CONTINGENCY ALLOWANCE: \$ 20460.00 (b)

TOTAL BASE BID (a) + (b): \$ 291060.00
Base Bid + 10% Contingency Allowance

ALTERNATE #1 (EC-01): \$ 2553.00
 Reconstruct Toilet Rooms 16 and 18

ALTERNATE #2 (EC-02): \$ 620.00
 Room 40 HVAC

ALTERNATE #3: (EC-03): \$ 68961.00
 Front Wing/Office Renovations

BF3

ALTERNATE #5: (EC-05): \$ 1680.00
 Fence Gate Motor

ALTERNATE #10: (EC-06): \$ 28545.00
 Additional Lighting Replacement

ALTERNATE #11: (EC-07): \$ 6639.00
 Flagpole Lighting

COMPANY: CKM Electrical Services, Inc

ADDRESS: 216 Elk St.

CITY, STATE, ZIP: Albany, NY 12210

TEL. NO.: 518.588.7600

FAX NO.: 518.694.4190

FEDERAL TAX ID NO.: 20-5662658

REPRESENTATIVE: Clyde Lounsbury

E-MAIL: clounsbury@ckmesinc.com

SIGNATURE AND TITLE Clyde Lj President

DATE 8/20/20

ATTACHMENT "A"
NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO
SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.

(2) Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.


(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1), (2), and (3) above have not been complied with; provided, however, that in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where (1), (2), and (3) above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation

8/20/20
Date


Signature

President
Title

CKM Electrical Services, Inc.
Company Name

ATTACHMENT "B"
ACKNOWLEDGMENT BY BIDDER

If Individual or Individuals:

STATE OF _____)
COUNTY OF _____) SS.:

On this _____ day of _____, 200__, before me personally appeared _____ to me known and known to me to be the same person(s) described in and who executed the within instrument, and he (or they severally) acknowledged to me that he (or they) executed the same.

Notary Public, State of _____
Qualified in _____
Commission Expires _____

If Corporation:

STATE OF New York)
COUNTY OF Albany) SS.:

On this 10th day of August, 2020, before me personally appeared Clyde Lounsbury to me known, who, being by me sworn, did say that he resides at (give address) 1982 Indian Fields Rd.; that he is the (give title) President of the (name of corporation) CRM Electrical Services, Inc., the corporation described in and which executed the above instrument; that he knows the seal of the corporation, and that the seal affixed to the instrument is such corporate seal; that it was so affixed by order of the board of directors of the corporation, and that he signed his name thereto by like order.

[Handwritten signature of Helen Fiore]

HELEN FIORE
Notary Public, State of New York
Qualified in Albany County
Reg.# 01F16229656

Notary Public, State of New York
Qualified in ALBANY
Commission Expires 10/18/2022

If Partnership: Commission Expires October 18, 2022

STATE OF _____)
COUNTY OF _____) SS.:

On the _____ day of _____, 200__, before me personally came _____ to me known to be the individual who executed the foregoing, and who, being duly sworn, did depose and say that he / she is a partner of the firm of _____ and that he / she has the authority to sign the same, and acknowledged that he / she executed the same as the act and deed of said partnership.

Notary Public, State of _____
Qualified in _____
Commission Expires _____

ATTACHMENT "C" ALBANY COUNTY VENDOR RESPONSIBILITY QUESTIONNAIRE

1. VENDOR IS: <input checked="" type="checkbox"/> PRIME CONTRACTOR		
2. VENDOR'S LEGAL BUSINESS NAME <i>CKM Electrical Services, Inc</i>	3. IDENTIFICATION NUMBERS a) FEIN # <i>20-5662658</i> b) DUNS #	
4. D/B/A – Doing Business As (if applicable) & COUNTY FIELD:	5. WEBSITE ADDRESS (if applicable) <i>www.ckmesinc.com</i>	
6. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>216 Elk St., Albany 12210</i>	7. TELEPHONE NUMBER <i>518.588.7600</i>	8. FAX NUMBER <i>518.694.4190</i>
9. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>IN NEW YORK STATE, if different from above</i>	10. TELEPHONE NUMBER	11. FAX NUMBER
12. AUTHORIZED CONTACT FOR THIS QUESTIONNAIRE Name <i>Clyde Lounsbury</i> Title <i>President</i> Telephone Number <i>518.588.7600</i> Fax Number <i>518.694.4190</i> e-mail <i>clounsbury@ckmesinc.com</i>		
13. LIST ALL OF THE VENDOR'S PRINCIPAL OWNERS.		
a) NAME <i>Clyde Lounsbury</i>	TITLE <i>President</i>	b) NAME
c) NAME	TITLE	d) NAME
TITLE		
A DETAILED EXPLANATION IS REQUIRED FOR EACH QUESTION ANSWERED WITH A "YES," AND MUST BE PROVIDED AS AN ATTACHMENT TO THE COMPLETED QUESTIONNAIRE. YOU MUST PROVIDE ADEQUATE DETAILS OR DOCUMENTS TO AID THE COUNTY IN MAKING A DETERMINATION OF VENDOR RESPONSIBILITY. PLEASE NUMBER EACH RESPONSE TO MATCH THE QUESTION NUMBER.		
14. DOES THE VENDOR USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, or D/B/A OTHER THAN THOSE LISTED IN ITEMS 2-4 ABOVE? List all other business name(s), Federal Employer Identification Number(s) or any D/B/A names and the dates that these names or numbers were/are in use. Explain the relationship to the vendor.		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
15. ARE THERE ANY INDIVIDUALS NOW SERVING IN A MANAGERIAL OR CONSULTING CAPACITY TO THE VENDOR, INCLUDING PRICIPAL OWNERS AND OFFICERS, WHO NOW SERVE OR IN THE PAST ONE (1) YEARS HAVE SERVED AS:		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
a) An elected or appointed public official or officer? <i>List each individual's name, business title, the name of the organization and position elected or appointed to, and dates of service</i>		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b) An officer of any political party organization in Albany County, whether paid or unpaid? <i>List each individuals name, business title or consulting capacity and the official political position held with applicable service dates.</i>		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

16.	<p>WITHIN THE PAST (5) YEARS, HAS THE VENDOR, ANY INDIVIDUALS SERVING IN MANAGERIAL OR CONSULTING CAPACITY, PRINCIPAL OWNERS, OFFICERS, MAJOR STOCKHOLDER(S) (10% OR MORE OF THE VOTING SHARES FOR PUBLICLY TRADED COMPANIES, 25% OR MORE OF THE SHARES FOR ALL OTHER COMPANIES), AFFILIATE OR ANY PERSON INVOLVED IN THE BIDDING OR CONTRACTING PROCESS:</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	<p>a) 1. been suspended, debarred or terminated by a local, state or federal authority in connection with a contract or contracting process;</p> <p>2. been disqualified for cause as a bidder on any permit, license, concession franchise or lease;</p> <p>3. entered into an agreement to a voluntary exclusion from bidding/contracting;</p> <p>4. had a bid rejected on an Albany County contract for failure to comply with the MacBride Fair Employment Principles;</p> <p>5. had a low bid rejected on a local, state or federal contract for failure to meet statutory affirmative action or M/WBE requirements on a previously held contract;</p> <p>6. had status as a Women's Business Enterprise, Minority Business Enterprise or Disadvantaged Business Enterprise, de-certified, revoked or forfeited;</p> <p>7. been subject to an administrative proceeding or civil action seeking specific performance or restitution in connection with any local, state or federal government contract;</p> <p>8. been denied an award of a local, state or federal government contract, had a contract suspended or had a contract terminated for non-responsibility; or</p> <p>9. had a local, state or federal government contract suspended or terminated for cause prior to the completion of the term of the contract.</p>	
	<p>b) been indicted, convicted, received a judgment against them or a grant of immunity for any business-related conduct constituting a crime under local, state or federal law including but not limited to, fraud extortion, bribery, racketeering, price-fixing, bid collusion or any crime related to truthfulness and/or business conduct?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	<p>c) been issued a citation, notice, violation order, or are pending an administrative hearing or proceeding or determination of violations of:</p> <p>1. federal, state or local health laws, rules or regulations.</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
17.	<p>IN THE PAST THREE (3) YEARS, HAS THE VENDOR OR ITS AFFILIATES ¹ HAD ANY CLAIMS, JUDGMENTS, INJUNCTIONS, LIENS, FINES OR PENALTIES SECURED BY ANY GOVERNMENTAL AGENCY?</p> <p>Indicate if this is applicable to the submitting vendor or affiliate. State whether the situation(s) was a claim, judgment, injunction, lien or other with an explanation. Provide the name(s) and address(es) of the agency, the amount of the original obligation and outstanding balance. If any of these items are open, unsatisfied, indicate the status of each item as "open" or "unsatisfied."</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
18.	<p>DURING THE PAST THREE (3) YEARS, HAS THE VENDOR FAILED TO:</p> <p>a) file returns or pay any applicable federal, state or city taxes? <i>Identify the taxing jurisdiction, type of tax, liability year(s), and tax liability amount the vendor failed to file/pay and the current status of the liability.</i></p> <p>b) file returns or pay New York State unemployment insurance? <i>Indicate the years the vendor failed to file/pay the insurance and the current status of the liability.</i></p> <p>c) Property Tax <i>Indicate the years the vendor failed to file.</i></p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
19.	<p>HAVE ANY BANKRUPTCY PROCEEDINGS BEEN INITIATED BY OR AGAINST THE VENDOR OR ITS AFFILIATES ¹ WITHIN THE PAST SEVEN (7) YEARS (WHETHER OR NOT CLOSED) OR IS ANY BANKRUPTCY PROCEEDING PENDING BY OR AGAINST THE VENDOR OR ITS AFFILIATES REGARDLESS OR THE DATE OF FILING?</p> <p>Indicate if this is applicable to the submitting vendor or affiliate. If it is an affiliate, include the affiliate's name and FEIN. Provide the court name, address and docket number. Indicate if the proceedings have been initiated, remain pending or have been closed. If closed, provide the date closed.</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
20.	<p>IS THE VENDOR CURRENTLY INSOLVENT, OR DOES VENDOR CURRENTLY HAVE REASON TO BELIEVE THAT AN INVOLUNTARY BANKRUPTCY PROCEEDING MAY BE BROUGHT AGAINST IT? Provide financial information to support the vendor's current position, for example, Current Ration, Debt Ration, Age of Accounts Payable, Cash Flow and any documents that will provide the agency with an understanding of the vendor's situation.</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

21. IN THE PAST FIVE (5) YEARS, HAS THE VENDOR OR ANY AFFILIATES: Yes No
- a) defaulted or been terminated on, or had its surety called upon to complete, any contract (public or private) awarded;
- Indicate if this is applicable to the submitting vendor or affiliate. Detail the situation(s) that gave rise to the negative action, any corrective action taken by the vendor and the name of the contracting agency.

1 "Affiliate" meaning: (a) any entity in which the vendor owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the vendor; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a vendor owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity's daily operations, that entity will be an "affiliate" for purposes of this questionnaire.

Attachment "D"
Certification Pursuant to Section 103-g
Of the New York State
General Municipal Law

- A. By submission of this bid/proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid/Proposal shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the bidder/proposer cannot make the foregoing certification set forth in Paragraph A above, the bidder/proposer shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid/proposal is made, or his designee, may award a bid/proposal, on a case by case business under the following circumstances:
1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.



Signature

President

Title

CRM Electrical Services, Inc.

Company Name

8/21/20

Date

ATTACHMENT "E"

Sheet MS4-1: Bidder/Proposer Certification Statement (to be used with Section 34 Part A – General Contracts)

As a bidder seeking to provide services on behalf of Albany County, I certify under penalty of law that I understand and agree to comply with the terms and conditions of the New York State Pollutant Discharge Elimination System ("SPDES") General Permit for Stormwater Discharges from Municipal Separate Storm Sewer Systems (MS4 Permit) and Albany County Local Law 7 of 2007, and agree to implement any Best Management Practices or corrective actions identified by Albany County or an authorized representative thereof as necessary to maintain compliance. I understand that Albany County must comply with the terms and conditions of the aforementioned MS4 Permit, and that it is unlawful for any person to directly or indirectly cause or contribute to a violation of water quality standards. I am also aware that County Local Law 7 of 2007 prohibits any activities that cause or contribute to a violation of the County's SPDES permit. Further, I understand that any non-compliance by Albany County will not diminish, eliminate or lessen my own liability.

Name of Third Party Entity: _____

Address: _____

Phone Number(s): _____

Description of activities to be performed by your firm or organization within Albany County are related to the Albany County Storm Water Management Program (SWMP) (include any activities that have the potential to generate or prevent pollution and/or affect water quality):

Description of where the work is to be performed within Albany County facilities:

Signature

Clyde Leansburg
Printed Name

President
Title

8/20/20
Date

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

The undersigned guarantees the accuracy of all statements and answers herein contained. (Please print in ink or type in the spaces provided). Attach additional sheets if necessary. This statement of Bidder's qualifications is required of all Bidders. Additional data on Bidder's qualifications may be requested from selected Bidders after the Bid opening.

1. How many years has your firm been in business? 14 years

2. List up to three (3) projects of this nature that you have completed in the last three (3) years, and give the name, address and telephone number of a reference from each. Also give the completion date, the original contract bid price and the completed cost of each project listed.

1. Quester Bros^{III} Phase 2 Troy, NY 12180

2. Westworth Management 80 State St Albany

3. Chalton Fire Department Chalton, NY

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

3. List projects presently under contract by your firm, the dollar volume of the contract and the percentage completion of the contract.

Honeywell B-K-W School Temp. Control
265,000 90% complete

NYSTRS Lighting Control Upgrades
168,200 100% Complete

Trane Albany High School Temp Controls
Phase 1 238,000 92% complete

4. Has your firm ever failed to complete work awarded to it, if so, state where and why.

No

5. Is your firm presently or has your firm ever been a party defendant in a lawsuit commenced against your firm alleging failure to properly complete work in accordance with the contract for same; if so, give details.

No

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

6. Has your firm received two (2) final determinations within any consecutive six-year period, the second final determination occurring within the past five (5) years, that your firm willfully failed to pay the prevailing rate of wages or to provide supplements with Article 8 of the Labor Law, if so, give details.

No

7. Do you plan to sublet any part of this work? If so, give details.

~~No~~ DATA - Adirondeck Cabling

8. Give the name, address and telephone number of an individual who represents each of the following and whom the Owner may contact to investigate your financial responsibility: a surety, and a bank.

Surety - MCT Jesse Champagne 315.424.5703

Bank - Pioneer Jason Urschel 518.730.3074

Supplier - Wolberg Electric Mike Tuth 518.489.8451

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

9. Give a summary of your financial statement. (List assets and liabilities, use an insert sheet, if needed).

Available upon request

10. State the true, exact, correct and complete name of the partnership, corporation or trade name under which you do business, and the address of the place of business. (If a corporation, state the name and title of all officers. If a partnership, state the name of all partners. If a trade name, state the names of the individuals who do business under the trade name.) It is absolutely necessary that information be furnished.

CKM Electrical Services, Inc
Correct Name of Bidder

(a) The business is a: *S Corporation*

(b) The address of principal place of business is: *216 Elk St., Albany 12210*

(c) The names of the corporate officers, or partners, or individuals doing business under a trade name, are as follows:

Clyde Lounsbery President 100%

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

11. Is your firm qualified to do business in the State of New York? Yes X No ____.
If No, signing this qualification statement constitutes agreement to obtain such qualification prior to award of contract immediately upon owner's request.

CLEM Electrical Services, Inc
Firm

Dated: 8/20/20

By Clyde

Clyde Linsbury
(Typed)

ATTACHMENT "G"
NON-INTERRUPTION OF WORK AGREEMENT

By submission of the bid for:

The bidder agrees that if this bid is accepted, he/she will not intentionally engage in any course of conduct or activity, or employ for the purposes of performing the public work, any subcontractors, employees, labor or materials which will or may result in the interruption of the performance of the public work due to labor strife or unrest by workmen employed by the bidder or by any of the trades working in or about the public works and/or premises where the work is being performed.

Firm: Clem Electrical Services, Inc.

By: *Clyde L*
(Signature)

Clyde Lounsbury
(Typed)

Title: President

Date: 8/20/20

THE AMERICAN INSTITUTE OF ARCHITECTS



AIA Document A310

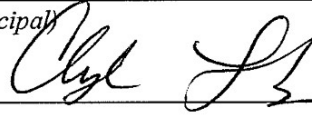
BID BOND

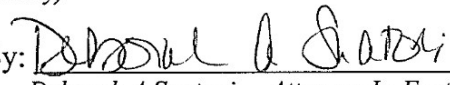
KNOW ALL MEN BY THESE PRESENTS, that we, CKM ELECTRICAL SERVICES INC., 216 Elk St., Albany NY 12210 as Principal, hereinafter called the Principal, and UNITED STATES FIRE INSURANCE COMPANY, organized under the laws of the State of Delaware, licensed to do business in the State of New York, as Surety, hereinafter called the Surety, are held and firmly bound unto ALBANY COUNTY PURCHASING as Obligee, hereinafter called the Obligee, in the sum of Five Per Cent (5%) of the Amount Bid (\$-----), for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for: Albany County Sheriffs Renovation

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of failure of the Principal to enter into such Contract and give such bond or bonds, if the Principal shall pay the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise remain in full force and effect.

Signed and sealed this 7th day of August A.D. 2020.

CKM Electrical Services Inc.
(Principal)  *(Seal)*
By: _____
Title: _____

United States Fire Insurance Company
(Surety)  *(Seal)*
By: Deborah A. Suatoni
Deborah A Suatoni, Attorney-In-Fact

CORPORATE ACKNOWLEDGMENT

State of New York
County of

On the _____ day of _____, 2020, before me personally came _____ to me known who being by me duly sworn, did depose and say; that he is _____ of the CKM Electrical Services Inc. the Corporation described in and which executed the above instrument; that he knows the seal of such said corporation; that the seal affixed to the instrument is such corporate seal that it was so affixed by order of the Board of Directors of the said corporation, and he signed his name thereto by like order.

Notary Public

SURETY ACKNOWLEDGMENT

State of New York
County of Onondaga

On the 7th day of August, 2020, before me a Notary Public in and for the said County and State, residing therein, duly commissioned and sworn, personally appeared Deborah A Suatoni known to me to be the Attorney-in-Fact of the United States Fire Insurance Company, the corporation described in and that executed the within and foregoing instrument and known to me to be the person who executed the said instrument in behalf of the said corporation, and he duly acknowledged to me that such corporation executed the same.

IN WITNESS THEREOF, I have hereunto set my hand and affixed my official seal, the day and year stated in this certificate above.

Angeliki D. Klein
Notary Public

ANGELIKI D KLEIN
01KL6249425
Notary Public, State of New York
Qualified in Onondaga County
My commission expires OCTOBER 11th, 2023

UNITED STATES FIRE INSURANCE COMPANY
1209 ORANGE STREET, WILMINGTON, DELAWARE 19801

STATEMENT OF ASSETS, LIABILITIES, SURPLUS AND OTHER FUNDS

AT DECEMBER 31, 2019

<u>ASSETS</u>	
Bonds (Amortized Value).....	1,378,263,278
Preferred Stocks (Market Value).....	2,500,000
Common Stocks (Market Value).....	1,285,247,627
Mortgage Loans (Market Value).....	4,575,000
Cash, Cash Equivalents, and Short Term Investments.....	669,919,204
Derivatives.....	7,268,292
Other Invested Assets.....	263,067,722
Investment Income Due and Accrued.....	12,069,093
Premiums and Considerations.....	307,501,153
Amounts Recoverable from Reinsurers.....	41,921,190
Funds Held by or Deposited with Reinsured Companies.....	18,044,399
Current Income Taxes Recoverable.....	3,123,199
Net Deferred Tax Asset.....	170,616,521
Electronic Data Processing Equipment.....	2,530,714
Receivables from Parent, Subsidiaries and Affiliates.....	189,801,729
Other Assets.....	88,380,069
TOTAL ASSETS.....	\$ 4,444,829,190

LIABILITIES, SURPLUS & OTHER FUNDS

Losses (Reported Losses Net of Reinsurance Ceded and Incurred But Not Reported Losses).....	1,608,110,571
Reinsurance Payable on Paid Losses and Loss Adjustment Expenses.....	99,388,149
Loss Adjustment Expenses.....	382,336,986
Commissions Payable, Contingent Commissions and Other Similar Charges.....	6,450,761
Other Expenses (Excluding Taxes, Licenses and Fees).....	58,812,009
Taxes, Licenses and Fees (Excluding Federal Income Taxes).....	24,123,914
Unearned Premiums.....	622,338,241
Advance Premium.....	10,107,620
Ceded Reinsurance Premiums Payable.....	40,131,540
Funds Held by Company under Reinsurance Treaties.....	30,307,801
Amounts Withheld by Company for Account of Others.....	87,984,822
Provision for Reinsurance.....	1,244,113
Payable to Parent, Subsidiaries and Affiliates.....	4,801,800
Other Liabilities.....	62,614,441
TOTAL LIABILITIES.....	\$ 3,038,752,768
Common Capital Stock.....	14,943,000
Gross Paid In and Contributed Surplus.....	1,374,911,940
Unassigned Funds (Surplus).....	16,221,482
Surplus as Regards Policyholders.....	1,406,076,422
TOTAL LIABILITIES, SURPLUS & OTHER FUNDS.....	\$ 4,444,829,190

I, Carmine Scaglione, Senior Vice President and Controller of UNITED STATES FIRE INSURANCE COMPANY, certify that the foregoing is a fair statement of Assets, Liabilities, Surplus and Other Funds of this Company, at the close of business, December 31, 2019, as reflected by its books and records and as reported in its statement on file with the Insurance Department of the State of Delaware.



IN TESTIMONY WHEREOF, I have set my hand and affixed the seal of the Company, this 3rd day of March, 2020.
UNITED STATES FIRE INSURANCE COMPANY



POWER OF ATTORNEY
UNITED STATES FIRE INSURANCE COMPANY
PRINCIPAL OFFICE - MORRISTOWN, NEW JERSEY

06718440520

KNOW ALL MEN BY THESE PRESENTS: That United States Fire Insurance Company, a corporation duly organized and existing under the laws of the state of Delaware, has made, constituted and appointed, and does hereby make, constitute and appoint:

Raymond L Suatoni, David L Mehlbaum, Ramon A Dunlop, Deborah A Suatoni, Jesse M. Champagne

each, its true and lawful Attorney(s)-In-Fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver: Any and all bonds and undertakings of surety and other documents that the ordinary course of surety business may require, and to bind United States Fire Insurance Company thereby as fully and to the same extent as if such bonds or undertakings had been duly executed and acknowledged by the regularly elected officers of United States Fire Insurance Company at its principal office, in amounts or penalties not exceeding: **Seven Million, Five Hundred Thousand Dollars (\$7,500,000)**.

This Power of Attorney limits the act of those named therein to the bonds and undertakings specifically named therein, and they have no authority to bind United States Fire Insurance Company except in the manner and to the extent therein stated.

This Power of Attorney revokes all previous Powers of Attorney issued on behalf of the Attorneys-In-Fact named above and expires on January 31, 2021.

This Power of Attorney is granted pursuant to Article IV of the By-Laws of United States Fire Insurance Company as now in full force and effect, and consistent with Article III thereof, which Articles provide, in pertinent part:

Article IV, Execution of Instruments - Except as the Board of Directors may authorize by resolution, the Chairman of the Board, President, any Vice-President, any Assistant Vice President, the Secretary, or any Assistant Secretary shall have power on behalf of the Corporation:

(a) to execute, affix the corporate seal manually or by facsimile to, acknowledge, verify and deliver any contracts, obligations, instruments and documents whatsoever in connection with its business including, without limiting the foregoing, any bonds, guarantees, undertakings, recognizances, powers of attorney or revocations of any powers of attorney, stipulations, policies of insurance, deeds, leases, mortgages, releases, satisfactions and agency agreements;

(b) to appoint, in writing, one or more persons for any or all of the purposes mentioned in the preceding paragraph (a), including affixing the seal of the Corporation.

Article III, Officers, Section 3.11, Facsimile Signatures. The signature of any officer authorized by the Corporation to sign any bonds, guarantees, undertakings, recognizances, stipulations, powers of attorney or revocations of any powers of attorney and policies of insurance issued by the Corporation may be printed, facsimile, lithographed or otherwise produced. In addition, if and as authorized by the Board of Directors, dividend warrants or checks, or other numerous instruments similar to one another in form, may be signed by the facsimile signature or signatures, lithographed or otherwise produced, of such officer or officers of the Corporation as from time to time may be authorized to sign such instruments on behalf of the Corporation. The Corporation may continue to use for the purposes herein stated the facsimile signature of any person or persons who shall have been such officer or officers of the Corporation, notwithstanding the fact that he may have ceased to be such at the time when such instruments shall be issued.

IN WITNESS WHEREOF, United States Fire Insurance Company has caused these presents to be signed and attested by its appropriate officer and its corporate seal hereunto affixed this 22nd day of August 2019.

UNITED STATES FIRE INSURANCE COMPANY

Anthony R. Slimowicz, Executive Vice President



State of Pennsylvania }
County of Philadelphia }

On this 22nd day of August 2019, before me, a Notary public of the State of Pennsylvania, came the above named officer of United States Fire Insurance Company, to me personally known to be the individual and officer described herein, and acknowledged that he executed the foregoing instrument and affixed the seal of United States Fire Insurance Company thereto by the authority of his office.

Commonwealth of Pennsylvania – Notary Seal
Tamara Watkins, Notary Public
Philadelphia County
My commission expires August 22, 2023
Commission number 1348843

Tamara Watkins (Notary Public)

I, the undersigned officer of United States Fire Insurance Company, a Delaware corporation, do hereby certify that the original Power of Attorney of which the foregoing is a full, true and correct copy is still in force and effect and has not been revoked.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of United States Fire Insurance Company on the 7 day of Aug 20 20

UNITED STATES FIRE INSURANCE COMPANY

Al Wright, Senior Vice President





ALBANY COUNTY SHERIFF'S OFFICE
County Court House Albany, New York 12207 (518) 487-5400
WWW.ALBANYCOUNTYSHERIFF.COM



MICHAEL S. MONTELEONE
EXECUTIVE UNDERSHERIFF

CRAIG D. APPLE, SR.
SHERIFF

WILLIAM M. RICE
UNDERSHERIFF

SHAWN P. NOONAN
CHIEF DEPUTY

LEON A. BORMANN
CHIEF DEPUTY

July 16, 2020

Honorable Andrew Joyce
Legislative Clerk's Office
112 State Street, Room 710
Albany, New York 12207

ANDREW
Dear Mr. Joyce:

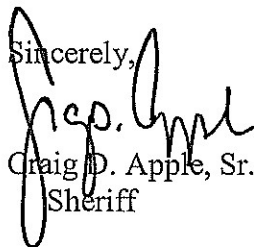
The attached correspondence is forwarded for presentation to the Albany County Legislature.

Legislative approval is being requested to enter into an intergovernmental agreement in which Albany County relinquishes claim to funds from the 2020 Byrne Justice Assistance Grant (JAG) Program Award and authorizes the City of Albany to accept and allocate these grant funds for various law enforcement programs.

The amount of the award is \$63,358.00, of which there is no fiduciary responsibility to the County of Albany.

This process was previously approved by the Albany County Legislature for a 2019 award in resolution 2019-433.

Should there be any questions, please do not hesitate to call.

Sincerely,

Craig D. Apple, Sr.
Sheriff

Att.

Cc: Hon. Daniel P. McCoy, County Executive
Hon. William Clay, Public Safety Chairman
Hon. Wanda Willingham, Audit & Finance Committee
Brandon Russell, Esq., Majority Counsel
Arnis Zilgme, Esq., Minority Counsel
Christian Barnes, Minority Conference

REQUEST FOR LEGISLATIVE ACTION

FOR COUNSEL USE ONLY	
DATE:	_____
RECEIVED:	_____
RECEIVED BY:	_____
METHOD:	<u>HAND</u> _____
	<u>COURIER</u> _____
	<u>MAIL</u> _____

DATE : JULY 20, 2020

DEPARTMENT: ALBANY COUNTY SHERIFF'S DEPT

CONTACT PERSON: SHERIFF CRAIG D APPLE SR
TELEPHONE: 518-447-5440

DEPT. REPRESENTATIVE ATTENDING
COMMITTEE MEETING: SHERIFF CRAIG D APPLE SR

PURPOSE OF REQUEST:

- ADOPTION OF LOCAL LAW _____
- AMENDMENT OF PRIOR LEGISLATION _____
- APPROVAL/ADOPTION OF PLAN/PROCEDURE _____
- BOND APPROVAL _____
- BUDGET AMENDMENT(SEE BELOW) _____
- CONTRACT AUTHORIZATION (SEE BELOW) X
- ENVIRONMENTAL IMPACT _____
- HOME RULE REQUEST _____
- PROPERTY CONVEYANCE _____
- OTHER:(STATE BRIEFLY IF NOT LISTED ABOVE) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING

INCREASE ACCOUNT/LINE NO. _____
SOURCE OF FUNDS: _____
TITLE CHANGE: _____

CONCERNING CONTRACT AUTHORIZATION,

STATE THE FOLLOWING:

TYPE OF CONTRACT

- CHANGE ORDER/CONTRACT AMENDMENT _____
- PURCHASE (EQUIPMENT/ SUPPLIES) _____
- LEASE (EQUIPMENT/SUPPLIES) _____
- REQUIREMENTS _____
- PROFESSIONAL SERVICES _____
- EDUCATIONAL/TRAINING _____
- GRANT: NEW _____
- RENEWAL _____
- SUBMISSION DEADLINE DATE _____

SETTLEMENT OF A CLAIM _____
RELEASE OF LIABILITY _____
OTHER: (STATE BRIEFLY) X

WE WILL ENTER INTO AN INTERMUNICIPAL AGREEMENT BETWEEN ALBANY COUNTY AND THE
CITY OF ALBANY TO ALLOW THE CITY OF ALBANY TO RECEIVE THE 2020 JAG GRANT IN THE
AMOUNT OF \$ 63,358.00

CONCERNING CONTRACT AUTHORIZATION (CONT'D)

STATE THE FOLLOWING:

CONTRACT TERMS/CONDITIONS: _____ PARTY (NAME/ADDRESS):

AMOUNT/RATE SCHEDULE/FEE:

TERM: _____
SCOPE OF SERVICES: _____

CONTRACT FUNDING:
ANTICIPATED IN CURRENT BUDGET: YES _____ NO _____
FUNDING SOURCE: _____
COUNTY BUDGET ACCOUNTS: _____
REVENUE: _____
APPROPRIATION: _____
BOND(RES. NO. & DATE OF ADOPTION) _____

CONCERNING ALL REQUESTS:

MANDATED PROGRAM/SERVICE: YES _____ NO X
IF MANDATED CITE: AUTHORITY _____
ANTICIPATED IN CURRENT ADOPTED BUDGET: YES _____ NO X
IF YES, INDICATE REVENUE APPROPRIATION ACCOUNTS: _____

FISCAL IMPACT - FUNDING: _____ (DOLLARS OR PERCENTAGES)
FEDERAL _____
STATE _____
COUNTY _____
TERM/LENGTH OF FUNDING _____

PREVIOUS REQUESTS FOR IDENTICAL OR SIMILAR ACTION:
RESOLUTION/LAW NUMBER: 433 OF 2019 COPY ATTACHED
DATE OF ADOPTION: ADOPTED 10/15/2019

JUSTIFICATION: (STATE BRIEFLY WHY LEGISLATIVE ACTION IS REQUESTED)
COUNTY OF ALBANY AGREES THAT THE CITY OF ALBANY SHALL RECEIVE THE TOTAL OF
THE 2020 BYRNE JUSTICE ASSISTANCE GRANT (JAG) PROGRAM AWARD IN THE AMOUNT OF
\$63,358.00

BACK-UP MATERIAL SUBMITTED _____ (I.E. APPLICATION/APPROVAL NOTICES FROM FUNDING SOURCE,
BID TABULATION SHEET, CIVIL SERVICE APPROVAL NOTICE, PROGRAM ANNOUNCEMENT, CONTRACTS
AND/OR ANY MATERIALS WHICH EXPLAIN OR SUPPORT THE REQUEST FOR LEGISLATIVE ACTION.)

SUBMITTED BY: CRAIG D APPLE SR
TITLE: SHERIFF

Miller, Doug

From: Justice, BJA <ojp@public.govdelivery.com>
Sent: Thursday, July 9, 2020 5:50 PM
To: Miller, Doug
Subject: Fiscal Year (FY) 2020 Local Edward Byrne Memorial Justice Assistance Grant (JAG) Program announcement

The Bureau of Justice Assistance (BJA) is pleased to announce that FY 2020 Local JAG Program funding information is now available and appears on the [BJA JAG web page](#).

IMPORTANT UPDATE for FY 2020 Local JAG: There will be two distinct categories to apply under in FY 2020: **Category 1 – Less than \$25,000 and Category 2 - \$25,000 and above.** If your unit of local government is eligible for an award amount of less than \$25,000, please ensure an application is submitted under Category 1 (less than \$25,000). If your unit of local government is eligible for an award of \$25,000 or more, please ensure an application is submitted under Category 2 (\$25,000 and above).

Please note that this email is being sent to a large group of potential Local JAG applicants and does not necessarily confirm your jurisdiction's eligibility under the FY 2020 Local JAG Program.

Eligible jurisdictions under FY 2020 Local JAG are limited to units of local government appearing on the **FY 2020 Local JAG Allocations list** (please see the [BJA JAG web page](#)). Please verify your eligibility, and **if eligible**, review the associated **FY 2020 Local JAG solicitation** and submit an application for funding through the Office of Justice Program's (OJP) **Grants Management System (GMS)** by **11:59pm Eastern on Wednesday, August 19, 2020.**

Applications must be submitted by the stated deadline, regardless of whether the **Certifications and Assurances by Chief Executive (CE) of Applicant Government** has been completed and attached. **BJA will add a withholding of funds special condition to the award for any missing or incomplete items; including the aforementioned CE certification.** Please note that BJA does not anticipate having time to extend the Local JAG deadline for application submission this year.

This is an unmonitored email account, please do not respond directly. For questions related to the JAG solicitation, please contact the National Criminal Justice Reference Service (NCJRS) Response Center: toll-free at 1-800-851-3420; via TTY at 301-240-6310 (hearing impaired only); email grants@ncjrs.gov; fax to 301-240-5830; or web chat at <https://webcontact.ncjrs.gov/ncjchat/chat.jsp>. The NCJRS Response Center hours of operation are 10:00 a.m. to 6:00 p.m. eastern time, Monday through Friday and 10:00 a.m. to 8:00 p.m. eastern time on the solicitation close date. You may also contact the **State Policy Advisor** assigned to your jurisdiction.

Please contact the GMS Help Desk at 1-888-549-9901 (Option 3) prior to the deadline if you experience any technical difficulties with submission.

This email was sent to doug.miller@albanycountyny.gov using GovDelivery Communications Cloud on behalf of: Department of Justice · Washington, DC

2020 NEW YORK LOCAL JAG ALLOCATIONS

Listed below are all jurisdictions in the state that are eligible for FY 2020 JAG funding, as determined by the JAG formula. For additional details regarding the JAG formula and award calculation process, with examples, please refer to the JAG Technical report here: <https://www.bja.gov/Jag/pdfs/JAG-Technical-Report.pdf> and current JAG Frequently Asked Questions here: <https://www.bja.gov/Funding/JAGFAQ.pdf>.

Finding your jurisdiction:

- (1) Disparate jurisdictions are listed in shaded groups below, in alphabetic order by county.
- (2) Direct allocations are listed alphabetically below the shaded, disparate groupings.
- (3) Counties that have an asterisk (*) under the "Direct Allocation" column did not submit the level of violent crime data to qualify for a direct award from BJA, but are in the disparate grouping indicated by the shaded area. The JAG legislation requires these counties to remain a partner with the local jurisdictions receiving funds and must be a signatory on the required Memorandum of Understanding (MOU). A sample MOU is provided online at: <https://www.bja.gov/Funding/JAGMOU.pdf>. Disparate jurisdictions do not need to abide by the listed individual allocations, which are provided for information only. Jurisdictions in a funding disparity are responsible for determining individual amounts within the Eligible Joint Allocation and for documenting individual allocations in the MOU.

State	Jurisdiction Name	Government Type	Direct Allocation	Joint Allocation
NY	ALBANY COUNTY	County	*	
NY	ALBANY CITY	Municipal	\$69,358	\$69,358
NY	BROOME COUNTY	County	*	
NY	BINGHAMTON CITY	Municipal	\$25,855	\$25,855
NY	CHAUTAQUA COUNTY	County	*	
NY	JAMESTOWN CITY	Municipal	\$16,094	\$16,094
NY	DUTCHESS COUNTY	County	*	
NY	POUGHKEEPSIE CITY	Municipal	\$16,467	\$16,467
NY	ERIE COUNTY	County	*	
NY	BUFFALO CITY	Municipal	\$202,667	
NY	CHEEKTOWAGA TOWN	Township	\$13,834	\$216,501
NY	JEFFERSON COUNTY	County	*	
NY	WATERTOWN CITY	Municipal	\$12,294	\$12,294
NY	MONROE COUNTY	County	\$14,902	
NY	ROCHESTER CITY	Municipal	\$132,429	\$147,331
NY	NIAGARA COUNTY	County	*	
NY	NIAGARA FALLS CITY	Municipal	\$37,926	\$37,926
NY	ONEIDA COUNTY	County	*	
NY	UTICA CITY	Municipal	\$27,420	\$27,420
NY	ONONDAGA COUNTY	County	\$13,859	
NY	SYRACUSE CITY	Municipal	\$76,447	\$90,306
NY	ORANGE COUNTY	County	*	
NY	NEWBURGH CITY	Municipal	\$26,799	\$26,799
NY	RENSSELAER COUNTY	County	*	
NY	TROY CITY	Municipal	\$24,737	\$24,737
NY	SCHENECTADY COUNTY	County	*	
NY	SCHENECTADY CITY	Municipal	\$44,631	\$44,631
NY	WESTCHESTER COUNTY	County	*	
NY	MOUNT VERNON CITY	Municipal	\$30,971	
NY	NEW ROCHELLE CITY	Municipal	\$14,301	
NY	YONKERS CITY	Municipal	\$61,073	\$103,345
NY	GREECE TOWN	Township	\$13,760	
NY	HEMPSTEAD VILLAGE	Municipal	\$26,178	
NY	NASSAU COUNTY	County	\$81,464	
NY	NEW YORK CITY	Municipal	\$3,518,611	
NY	SUFFOLK COUNTY	County	\$106,748	
	Total		\$4,599,674	

RESOLUTION NO. 433

AUTHORIZING AN INTERMUNICIPAL AGREEMENT REGARDING THE
BYRNE JUSTICE ASSISTANCE GRANT PROGRAM FUNDING

Introduced: 10/15/19

By Public Safety Committee:

WHEREAS, The New York State Division of Criminal Justice Services (DCJS) has indicated that federal grants such as the Byrne Justice Assistance Grant offer local governments an option to pass through funding, thereby allowing the State to directly coordinate with the grantee, and

WHEREAS, The Albany County Sheriff has indicated that the County of Albany has been awarded federal funding through the Byrne Justice Assistance Grant Program in the amount of \$66,741, and

WHEREAS, The Sheriff has requested authorization to enter into an inter-municipal agreement between Albany County and the City of Albany allowing for a pass through waiver regarding grant funding in the amount of \$66,741 and collaboration in utilizing said grant funds to enhance various City law enforcement programs, and

WHEREAS, The Sheriff has indicated by executing a pass-through waiver the County will avoid any fiduciary responsibility regarding the grant funding accepted by the City of Albany, now, therefore be it

RESOLVED, By the Albany County Legislature that the County Executive is authorized to enter into an inter-municipal agreement with the City of Albany providing for the waiver and a pass-through of funding related to the DCJS Byrne Justice Assistance Grant Program in the amount of \$66,741 to the City of Albany for various law enforcement purposes, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreement as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate City and County Officials.

Adopted by unanimous vote - 10/15/19



ALBANY COUNTY SHERIFF'S OFFICE

County Court House Albany, New York 12207 (518) 487-5400
WWW.ALBANYCOUNTYSHERIFF.COM



MICHAEL S. MONTELEONE
EXECUTIVE UNDERSHERIFF

CRAIG D. APPLE, SR.
SHERIFF

WILLIAM M. RICE
UNDERSHERIFF

SHAWN P. NOONAN
CHIEF DEPUTY

LEON A. BORMANN
CHIEF DEPUTY

July 8, 2020

Honorable Andrew Joyce
Legislative Clerk's Office
112 State Street, Room 710
Albany, New York, 12207

D. Apple Sr.
Dear Mr. Joyce:

The attached correspondence is forwarded for presentation to the Albany County Legislature.

I respectfully request a resolution authorizing the County Executive to enter into an Agreement with the New York State Governor's Traffic Safety Committee in the amount of \$18,700.00 for the 2021 Police Traffic Services Grant PTS-2021-Albany Co SO-00132-(001). This plan accounts for traffic safety enforcement for the Albany County Sheriff's Office for the period beginning October 1, 2020 and ending September 30, 2021.

Should there be any questions, do not hesitate to call.

Sincerely,
Craig D. Apple Sr.
Craig D. Apple Sr.
Sheriff

Att.

Cc: Hon. Daniel P. McCoy, County Executive
Hon. William Clay, Public Safety Chairman
Hon. Wanda Willingham, Audit & Finance Committee
Brandon Russell, Esq., Majority Counsel
Arnis Zilgme, Esq., Minority Counsel

REQUEST FOR LEGISLATIVE ACTION

FOR COUNSEL USE ONLY	
DATE:	_____
RECEIVED:	_____
RECEIVED BY:	_____
METHOD:	<u>HAND</u> _____
	<u>COURIER</u> _____
	<u>MAIL</u> _____

DATE : JULY 8, 2020

DEPARTMENT: ALBANY COUNTY SHERIFF'S DEPT

CONTACT PERSON: CRAIG D APPLE SR
 TELEPHONE: 518-447-5440
 DEPT. REPRESENTATIVE ATTENDING
 COMMITTEE MEETING: CRAIG D APPLE SR

PURPOSE OF REQUEST:

- ADOPTION OF LOCAL LAW _____
- AMENDMENT OF PRIOR LEGISLATION _____
- APPROVAL/ADOPTION OF PLAN/PROCEDURE _____
- BOND APPROVAL _____
- BUDGET AMENDMENT(SEE BELOW) _____
- CONTRACT AUTHORIZATION (SEE BELOW) X
- ENVIRONMENTAL IMPACT _____
- HOME RULE REQUEST _____
- PROPERTY CONVEYANCE _____
- OTHER:(STATE BRIEFLY IF NOT LISTED ABOVE) _____
- AUTHORIZING AN AGREEMENT WITH THE NYS GOVERNOR'S TRAFFIC SAFETY COMMITTEE
- TO ACCEPT THE 2021 POLICE TRAFFIC SERVICES GRANT IN THE AMOUNT OF \$18,700.00

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING

INCREASE ACCOUNT/LINE NO. A33189.03327
 SOURCE OF FUNDS: NYS GOVERNOR'S TRAFFIC SAFETY COMMITTEE
 TITLE CHANGE: _____

CONCERNING CONTRACT AUTHORIZATION,

STATE THE FOLLOWING:

TYPE OF CONTRACT

- CHANGE ORDER/CONTRACT AMENDMENT _____
- PURCHASE (EQUIPMENT/ SUPPLIES) _____
- LEASE (EQUIPMENT/SUPPLIES) _____
- REQUIREMENTS _____
- PROFESSIONAL SERVICES _____
- EDUCATIONAL/TRAINING _____
- GRANT: NEW X
- RENEWAL _____
- SUBMISSION DEADLINE DATE _____
- SETTLEMENT OF A CLAIM _____
- RELEASE OF LIABILITY _____
- OTHER: (STATE BRIEFLY) _____

CONCERNING CONTRACT AUTHORIZATION (CONT'D)

STATE THE FOLLOWING:

CONTRACT TERMS/CONDITIONS: _____ **PARTY (NAME/ADDRESS):**
NYS DEPT. OF MOTOR VEHICLES-GTSC
6 EMPIRE STATE PLAZA
ALBANY, NY 12228
AMOUNT/RATE SCHEDULE/FEE:
\$ 18,700.00
TERM: 10/1/2020 THRU 9/30/20201
SCOPE OF SERVICES: THE GRANT WILL BE UTILIZED TO FUND
ENFORCEMENT EFFORTS FOR OUR STOP DWI PROGRAM

CONTRACT FUNDING: _____ **2021 BUDGET**
ANTICIPATED IN CURRENT BUDGET: YES _____ X _____ NO _____
FUNDING SOURCE: NYS GOVERNOR'S TRAFFIC SAFETY COMMITTEE
COUNTY BUDGET ACCOUNTS:
REVENUE: A33189.03327
APPROPRIATION: A93189.4046
BOND(RES. NO. & DATE OF ADOPTION) _____

CONCERNING ALL REQUESTS:
MANDATED PROGRAM/SERVICE: _____ YES _____ NO X
IF MANDATED CITE: AUTHORITY _____
ANTICIPATED IN CURRENT ADOPTED BUDGET: YES _____ X _____ NO _____
IF YES, INDICATE REVENUE APPROPRIATION ACCOUNTS: _____
A93189.4046

FISCAL IMPACT - FUNDING: _____ (DOLLARS OR PERCENTAGES)
FEDERAL _____ 0%
STATE _____ 100%
COUNTY _____ 0%
TERM/LENGTH OF FUNDING _____

PREVIOUS REQUESTS FOR IDENTICAL OR SIMILAR ACTION:
RESOLUTION/LAW NUMBER: RES. 35 OF 2020
DATE OF ADOPTION: 2/10/2020

JUSTIFICATION: (STATE BRIEFLY WHY LEGISLATIVE ACTION IS REQUESTED)
OUR GOAL IS TO REDUCE THE NUMBER OF CRASHES, INJURIES AND DEATHS ON
ALBANY COUNTY ROADWAYS

BACK-UP MATERIAL SUBMITTED _____ (I.E. APPLICATION/APPROVAL NOTICES FROM FUNDING SOURCE,
BID TABULATION SHEET, CIVIL SERVICE APPROVAL NOTICE, PROGRAM ANNOUNCEMENT, CONTRACTS
AND/OR ANY MATERIALS WHICH EXPLAIN OR SUPPORT THE REQUEST FOR LEGISLATIVE ACTION.)

SUBMITTED BY: CRAIG D APPLE SR
TITLE: SHERIFF

RESOLUTION NO. 35

APPROVING THE 2020 ALBANY COUNTY STOP DWI PLAN AND
AUTHORIZING AN AGREEMENT WITH THE NEW YORK STATE
GOVERNOR'S TRAFFIC SAFETY COMMITTEE

Introduced: 2/10/20

By Public Safety Committee:

WHEREAS, The Coordinator of the Albany County Stop DWI Program has prepared a Stop-DWI Plan pursuant to the New York State Vehicle and Traffic Law, and

WHEREAS, The proposed STOP-DWI Plan for 2020 must be certified by the Albany County Legislature and approved by the New York State Department of Motor Vehicles (DMV), and

WHEREAS, Upon approval by NYS DMV the County will receive \$763,992 for multi-agency police enforcement, prosecution, probation, rehabilitation, public information, and education in an effort to reduce alcohol related crashes, injuries and deaths, now, therefore be it

RESOLVED, By the Albany County Legislature that the 2020 Albany County Stop DWI Plan is hereby ratified and approved, and, be it further

RESOLVED, That the County Executive is authorized to enter into any and all necessary agreements regarding the implementation of the approved 2020 Stop-DWI Plan including agreements for the receipt of \$763,992 from NYS DMV for a term commencing October 1, 2019 and ending September 30, 2020, and, be it further

RESOLVED, That the County Attorney is authorized to approve said agreements associated with the aforementioned approved plan as to form and content, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

Adopted by unanimous vote - 2/10/20



ALBANY COUNTY SHERIFF'S OFFICE

County Court House Albany, New York 12207 (518) 487-5400
WWW.ALBANYCOUNTYSHERIFF.COM



MICHAEL S. MONTELEONE
EXECUTIVE UNDERSHERIFF

CRAIG D. APPLE, SR.
SHERIFF

WILLIAM M. RICE
UNDERSHERIFF

SHAWN P. NOONAN
CHIEF DEPUTY

LEON A. BORMANN
CHIEF DEPUTY

July 8, 2020

Honorable Andrew Joyce
Legislative Clerk's Office
112 State Street, Room 710
Albany, New York 12207

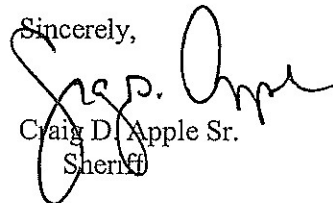
Andrew
Dear Mr. Joyce:

The attached correspondence is forwarded for presentation to the Albany County Legislature.

I am respectfully requesting to modify our existing agreement with Central Square (formerly Tritech Software Systems) to change our records management system from an in-house local server to a cloud based storage system. This modification will save money as the hardware will no longer need to be replaced. Central Square has agreed to make this change at no additional cost to the County.

Should there be any questions, do not hesitate to call.

Sincerely,



Craig D. Apple Sr.
Sheriff

Att.

Cc: Hon. Daniel P. McCoy, County Executive
Hon. William Clay, Public Safety Chairman
Hon. Wanda Willingham, Audit & Finance Committee
Brandon Russell, Esq., Majority Counsel
Arnis Zilgme, Esq., Minority Counsel

REQUEST FOR LEGISLATIVE ACTION

FOR COUNSEL USE ONLY	
DATE:	_____
RECEIVED:	_____
RECEIVED BY:	_____
METHOD:	<u>HAND</u> _____
	<u>COURIER</u> _____
	<u>MAIL</u> _____

DATE: JULY 8, 2020 _____

DEPARTMENT: ALBANY COUNTY SHERIFF'S DEPT _____

CONTACT PERSON: CRAIG D. APPLE SR

TELEPHONE: 487-5438

DEPT. REPRESENTATIVE ATTENDING COMMITTEE MEETING: _____

PURPOSE OF REQUEST:

- ADOPTION OF LOCAL LAW _____
- AMENDMENT OF PRIOR LEGISLATION _____
- APPROVAL/ADOPTION OF PLAN/PROCEDURE _____
- BOND APPROVAL _____
- BUDGET AMENDMENT(SEE BELOW) _____
- CONTRACT AUTHORIZATION (SEE BELOW) _____
- ENVIRONMENTAL IMPACT _____
- HOME RULE REQUEST _____
- PROPERTY CONVEYANCE _____
- OTHER:(STATE BRIEFLY IF NOT LISTED ABOVE) _____ X

WE ARE LOOKING TO MODIFY OUR AGREEMENT WITH CENTRAL SQUARE (FORMALLY TRITECH SOFTWARE SYSTEMS) TO CHANGE OUR RECORDS MANAGEMENT SYSTEM FROM AN IN-HOUSE LOCAL SERVER TO A CLOUD BASED STORAGE SYSTEM. CENTRAL SQUARE HAS AGREED TO MAKE THIS CHANGE AT NO ADDITIONAL COST TO THE COUNTY

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING

INCREASE ACCOUNT/LINE NO. _____
SOURCE OF FUNDS: _____
TITLE CHANGE: _____

CONCERNING CONTRACT AUTHORIZATION,

STATE THE FOLLOWING:

TYPE OF CONTRACT

- CHANGE ORDER/CONTRACT AMENDMENT _____
- PURCHASE (EQUIPMENT/ SUPPLIES) _____
- LEASE (EQUIPMENT/SUPPLIES) _____
- REQUIREMENTS _____
- PROFESSIONAL SERVICES _____
- EDUCATIONAL/TRAINING _____
- GRANT: NEW _____
- RENEWAL _____
- SUBMISSION DEADLINE DATE _____
- SETTLEMENT OF A CLAIM _____
- RELEASE OF LIABILITY _____
- OTHER: (STATE BRIEFLY) _____

CONCERNING CONTRACT AUTHORIZATION (CONT'D)

STATE THE FOLLOWING:

CONTRACT TERMS/CONDITIONS: _____ PARTY (NAME/ADDRESS): _____

AMOUNT/RATE SCHEDULE/FEE: _____

TERM: _____

SCOPE OF SERVICES: _____

CONTRACT FUNDING:

ANTICIPATED IN CURRENT BUDGET: YES _____ NO _____

FUNDING SOURCE: _____

COUNTY BUDGET ACCOUNTS: _____

REVENUE: _____

APPROPRIATION: _____

BOND(RES. NO. & DATE OF ADOPTION) _____

CONCERNING ALL REQUESTS:

MANDATED PROGRAM/SERVICE: YES _____ NO X

IF MANDATED CITE: AUTHORITY _____

ANTICIPATED IN CURRENT ADOPTED BUDGET: YES _____ X NO _____

IF YES, INDICATE REVENUE APPROPRIATION ACCOUNTS: _____

FISCAL IMPACT - FUNDING: _____ (DOLLARS OR PERCENTAGES)

FEDERAL _____

STATE _____

COUNTY _____ 100%

TERM/LENGTH OF FUNDING _____

PREVIOUS REQUESTS FOR IDENTICAL OR SIMILAR ACTION:

RESOLUTION/LAW NUMBER: _____

DATE OF ADOPTION: _____

JUSTIFICATION: (STATE BRIEFLY WHY LEGISLATIVE ACTION IS REQUESTED)

THIS CHANGE WILL SAVE US MONEY AS WE WILL NO LONGER HAVE TO PERIODICALLY

REPLACE HARDWARE. ADDITIONALLY, THIS WILL ALLOW FOR GREATER SYSTEM

PORTABILITY AS ONLY AN INTERNET CONNECTION WILL BE REQUIRED TO SECURE ACCESS.

WE HAVE INFORMED KAREN STORM/PURCHASING OF OUR PROPOSAL AND SHE HAS NO

OBJECTION.

BACK-UP MATERIAL SUBMITTED _____ (I.E. APPLICATION/APPROVAL NOTICES FROM FUNDING SOURCE, BID TABULATION SHEET, CIVIL SERVICE APPROVAL NOTICE, PROGRAM ANNOUNCEMENT, CONTRACTS AND/OR ANY MATERIALS WHICH EXPLAIN OR SUPPORT THE REQUEST FOR LEGISLATIVE ACTION.)

SEE ATCHED MEMO FROM PURCHASING

SUBMITTED BY: CRAIG D APPLE SR

TITLE: SHERIFF



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
112 STATE STREET, ROOM 1000
ALBANY, NEW YORK 12207-2021
(518) 447-7140 - FAX (518) 447-5588

DAVID M. LATINA
COMMISSIONER OF GENERAL SERVICES

KAREN A. STORM
PURCHASING AGENT

MEMORANDUM

TO: Sheriff Craig Apple

FROM: Karen A. Storm
Purchasing Agent

DATE: June 29, 2020

RE: TriTech Software Systems

With regards to the existing contract with TriTech Software Systems and your request for a modification from the current scope of work pertaining to in house local server storage, to the use of cloud services; it is my understanding that the current contract is still on going, and a final acceptance of the project has not been signed off by the Sheriff's Office.

It is also my understanding that due to the nature of the E911 system that TriTech now provides, an intergraded Computer System consisting of a Computer-Aided Dispatch system, Mobile Data system, Law Enforcement Records Management System, Field Based Reporting system, Jail Management and Next Generation 9-1-1 solution including applicable interfaces, software, equipment and services; that utilizing a single vendor will ensure complete integration, security and the integrity of all of the services delivered to meet the current needs of the County.

As TriTech has agreed not to change the cost associated with the requested change in services, as previously agreed to, I have no objection to the change.



ALBANY COUNTY SHERIFF'S OFFICE

County Court House Albany, New York 12207 (518) 487-5400
WWW.ALBANYCOUNTYSHERIFF.COM



MICHAEL S. MONTELEONE
EXECUTIVE UNDERSHERIFF

CRAIG D. APPLE, SR.
SHERIFF

WILLIAM M. RICE
UNDERSHERIFF

SHAWN P. NOONAN
CHIEF DEPUTY

LEON A. BORMANN
CHIEF DEPUTY

July 31, 2020

Honorable Andrew Joyce
Legislative Clerk's Office
112 State Street, Room 710
Albany, New York 12207

Andrew
Dear Mr. Joyce:

The attached correspondence is forwarded for presentation to the Albany County Legislature.

Legislative approval is required to upgrade our core E911 System. Recent events have made this a necessary expenditure as it will also upgrade the Computer Aided Dispatch, Mobile Data, Field Operations and Records Management Systems. These upgrades will enhance the public safety tools that are being used in the Capital District.

Central Square's public safety solution will provide three (3) things. First, it will provide a greater degree of redundancy as we will be able to partner with other counties to co-locate critical system resources. Second, it will allow agencies a higher degree of flexibility when configuring their agency's functional needs. Third, it will provide a platform to leverage other participating agencies resources for overall system health.

Should there be any questions, do not hesitate to call.

Sincerely,

Craig D. Apple, Sr.
Craig D. Apple, Sr.
Sheriff

cc: Hon. Daniel P. McCoy, County Executive
Hon. William Clay, Public Safety Chairman
Hon. Wanda Willingham, Audit & Finance
Brandon Russell, Esq., Majority Counsel
Hon. Arnis Zilgme, Esq., Minority Counsel

FOR COUNSEL USE ONLY	
DATE:	_____
RECEIVED:	_____
RECEIVED BY:	_____
METHOD:	<u>HAND</u> _____
	<u>COURIER</u> _____
	<u>MAIL</u> _____

REQUEST FOR LEGISLATIVE ACTION

DATE : JULY 31, 2020

DEPARTMENT: ALBANY COUNTY SHERIFF'S DEPT

CONTACT PERSON: CRAIG D APPLE SR
 TELEPHONE: 487-5440

DEPT. REPRESENTATIVE ATTENDING COMMITTEE MEETING: SHERIFF CRAIG D APPLE SR

PURPOSE OF REQUEST:

- ADOPTION OF LOCAL LAW _____
- AMENDMENT OF PRIOR LEGISLATION _____
- APPROVAL/ADOPTION OF PLAN/PROCEDURE _____
- BOND APPROVAL _____
- BUDGET AMENDMENT(SEE BELOW) _____
- CONTRACT AUTHORIZATION (SEE BELOW) X
- ENVIRONMENTAL IMPACT _____
- HOME RULE REQUEST _____
- PROPERTY CONVEYANCE _____
- OTHER:(STATE BRIEFLY IF NOT LISTED ABOVE) _____

THE SHERIFF'S DEPT. WANTS TO TO ENTER INTO AN AGREEMENT WITH CENTRAL SQUARE TECHNOLOGIES TO UPGRADE OUR CORE E-911, COMPUTER AIDED DISPATCH,MOBILE DATA, FIELD OPERATIONS AND RECORDS MANAGEMENT SYSTEMS.

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING

INCREASE ACCOUNT/LINE NO. _____
 SOURCE OF FUNDS: _____
 TITLE CHANGE: _____

CONCERNING CONTRACT AUTHORIZATION,

STATE THE FOLLOWING:

TYPE OF CONTRACT

- CHANGE ORDER/CONTRACT AMENDMENT _____
- PURCHASE (EQUIPMENT/ SUPPLIES) _____
- LEASE (EQUIPMENT/SUPPLIES) _____
- REQUIREMENTS _____
- PROFESSIONAL SERVICES X
- EDUCATIONAL/TRAINING _____
- GRANT: NEW _____
- RENEWAL _____
- SUBMISSION DEADLINE DATE _____

SETTLEMENT OF A CLAIM _____
 RELEASE OF LIABILITY _____
 OTHER: (STATE BRIEFLY) _____

CONCERNING CONTRACT AUTHORIZATION (CONT'D)

STATE THE FOLLOWING:

CONTRACT TERMS/CONDITIONS: _____ **PARTY (NAME/ADDRESS):** _____

CENTRAL SQUARE TECHNOLOGIES

1000 BUSINESS CENTER DRIVE

LAKE MARY, FL 32746

AMOUNT/RATE SCHEDULE/FEE:

\$ 589,394 PROJECT COST

\$80,931 ANNUAL MAINTENANCE FEE

TERM: _____

SCOPE OF SERVICES: UPGRADE OF OUR E-911 SYSTEM

CONTRACT FUNDING:

ANTICIPATED IN CURRENT BUDGET: YES _____ NO X

ENCUMBRANCE MONEY TO BE USED _____

COUNTY BUDGET ACCOUNTS:

REVENUE: _____

APPROPRIATION: A93020.44046

BOND (RES. NO. & DATE OF ADOPTION) _____

CONCERNING ALL REQUESTS:

MANDATED PROGRAM/SERVICE: YES X NO _____

IF MANDATED CITE: AUTHORITY _____

ANTICIPATED IN CURRENT ADOPTED BUDGET: YES _____ NO X

IF YES, INDICATE REVENUE APPROPRIATION ACCOUNTS: _____

ENCUMBRANCE MONEY HAS BEEN AUTHORIZED _____

FISCAL IMPACT - FUNDING: _____ (DOLLARS OR PERCENTAGES)

FEDERAL _____

STATE _____

COUNTY 100%

TERM/LENGTH OF FUNDING _____

PREVIOUS REQUESTS FOR IDENTICAL OR SIMILAR ACTION: _____

RESOLUTION/LAW NUMBER: _____

DATE OF ADOPTION: _____

JUSTIFICATION: _____ (STATE BRIEFLY WHY LEGISLATIVE ACTION IS REQUESTED)

THE SYSTEM UPGRADE WILL ENHANCE THE PUBLIC SAFETY TOOLS THAT ARE NOW BEING USED IN THE CAPITAL DISTRICT

BACK-UP MATERIAL SUBMITTED _____ (I.E. APPLICATION/APPROVAL NOTICES FROM FUNDING SOURCE, BID TABULATION SHEET, CIVIL SERVICE APPROVAL NOTICE, PROGRAM ANNOUNCEMENT, CONTRACTS AND/OR ANY MATERIALS WHICH EXPLAIN OR SUPPORT THE REQUEST FOR LEGISLATIVE ACTION.)

SUBMITTED BY: CRAIG D APPLE SR

TITLE: SHERIFF